

Audit Follow-ups Due/Undertaken over the last 3 years

	Audit Title	Year Report Finalised	Report Opinion	Follow-up Status	Follow-up Opinion
1)	Archiving of Council Records	2015/16	Weak	Completed	<p>Unsatisfactory</p> <p>Agreed to carry out a 2nd Stage Follow-up.</p> <p>2nd stage Unsatisfactory – progress then monitored through Corporate Board. Last update was made in September 2018, Corporate Management Team to be reminded again of requirements.</p>
2)	Contract Letting	2015/16	Weak	Not undertaken	A decision was taken not to follow this up as there had been major changes to the legal framework together with the Council's Contract Rules of Procedure since the initial audit had been undertaken. This area therefore needed a new audit to be undertaken not a follow-up.
3)	Insurance Pot Hole Claims	2015/16	Satisfactory	Completed	Satisfactory
4)	Disclosure and Barring Service (DBS) checks	2015/16	Satisfactory	Completed	Satisfactory
5)	Procurement Cards	2016/17	Weak	Completed	<p>Unsatisfactory</p> <p>Agreed to carry out a new audit in 2017/18 (report currently in draft).</p>

Appendix E

	Audit Title	Year Report Finalised	Report Opinion	Follow-up Status	Follow-up Opinion
6)	Commercial Rents	2016/17	Weak	Completed	Satisfactory
7)	Section 17 Support	2016/17	Weak	Completed	Satisfactory
8)	Home to School Transport	2017/18	Weak	Completed	Satisfactory
9)	Property Database	2017/18	Very Weak	In Progress	N/A
10)	Asset Management Strategy	2017/18	Very Weak	Postponed	N/A – due to other work pressures in the team responsible for implementation, a postponement was agreed with the HoS. Work to recommence in January 2019.
11)	Special Guardianship/Child Arrangement Orders	2017/18	Weak	Commenced	N/A - not yet completed